

Species- and Strain-Specific Adaptation of the HSP70 Super Family in Pathogenic Trypanosomatids

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Abstract

All eukaryotic genomes encode multiple members of the heat shock protein 70 (HSP70) family, which evolved distinctive structural and functional features in response to specific environmental constraints. Phylogenetic analysis of this protein family thus can inform on genetic and molecular mechanisms that drive species-specific environmental adaptation. Here we use the eukaryotic pathogen *Leishmania spp.* as a model system to investigate the evolution of the HSP70 protein family in an early-branching eukaryote that is prone to gene amplification and adapts to cytotoxic host environments by stress-induced and chaperone-dependent stage differentiation. Combining phylogenetic and comparative analyses of trypanosomatid genomes, draft genome of *Paratrypanosoma* and recently published genome sequences of 204 *L. donovani* field isolates, we gained unique insight into the evolutionary dynamics of the *Leishmania* HSP70 protein family. We provide evidence for (i) significant evolutionary expansion of this protein family in *Leishmania* through gene amplification and functional specialization of highly conserved canonical HSP70 members, (ii) evolution of trypanosomatid-specific, non-canonical family members that likely gained ATPase-independent functions, and (iii) loss of one atypical HSP70 member in the *Trypanosoma* genus. Finally, we reveal considerable copy number variation of canonical cytoplasmic HSP70 in highly related *L. donovani* field isolates, thus identifying this locus as a potential hot spot of environment–genotype interaction. Our data draw a complex picture of the genetic history of HSP70 in trypanosomatids that is driven by the remarkable plasticity of the *Leishmania* genome to undergo massive intra-chromosomal gene amplification to compensate for the absence of regulated transcriptional control in these parasites.

Key words: *Leishmania*, heat shock protein, HSP70, evolution, phylogeny, synteny, copy number variation, gene loss.

Introduction

Members of the heat shock protein 70 (HSP70) super family are ubiquitous molecular chaperones that play an essential role in the maintenance of cellular homeostasis in almost all organisms. These proteins are implicated in a variety of essential cellular processes, including protein folding, assembly, or refolding, thereby modulating their activity, interaction, and localization (Boorstein et al. 1994; Mayer and Bukau 2005).

Although HSP70 family members have a wide range of diverse functions, their sequence and structure are highly conserved. All HSP70 members show a characteristic N-terminal ATPase domain, and a C-terminal portion containing a conserved substrate-binding domain (Liu and Hendrickson 2007). HSP70 activity and substrate binding is regulated in an adenosine triphosphate (ATP)-dependent fashion, with HSP70-adenosine diphosphate (ADP) showing high substrate affinity that is

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reduced in its ATP-bound state (Szabo et al. 1994 ; Suh et al. 1999). HSP70 family members that are able to accomplish the full ATP binding and release cycle are defined as “canonical”, whereas atypical HSP70 family members do not follow this functional cycle (Shaner et al. 2006). The latter ones have likely acquired new functions, including nucleotide-exchange activity that synergizes with Hsp40/DnaJ-type co-chaperones to accelerate HSP70 nucleotide cycling (Dragovic et al. 2006; Raviol et al. 2006; Shaner et al. 2006; Steel et al. 2004).

Despite the high degree of inter-species sequence conservation of individual HSP70 family members, the evolution of the HSP70 protein family is very dynamic and often highly adapted to species-specific constraints. This dynamics is documented by substantial variations across eukaryotic organisms in *HSP70* gene copy number (Daugaard et al. 2007), the number of phylogenetically distinct sub-families, and the evolution of unique phylogenetic groups or atypical family members (Hughes 1993; Boorstein et al. 1994; Gupta and Singh 1994; Kampinga and Craig 2010; Kominek et al. 2013). Genetic adaptation of the HSP70 protein family is especially well illustrated in unicellular eukaryotes, notably pathogenic protists that often have to adapt to different environments during their infectious cycle. Parasitic protists of the order Trypanosomatida, including *Trypanosoma brucei*, *T. cruzi*, and *Leishmania spp.* (referred to as TriTryp), are particularly interesting organisms to investigate the evolutionary potential of the HSP70 family for several reasons.

First, HSP and chaperone proteins play an essential role in TriTryp adaptation to environmental changes and stress-induced stage differentiation, and thus are important for disease transmission and pathogenesis (Requena et al. 2015). For example *Leishmania* parasites show a digenetic life cycle, alternating between extracellular promastigotes that develop inside the midgut of phlebotomine sandflies, and intracellular amastigotes that multiply within macrophages of the mammalian host. This stage differentiation is regulated by several environmental factors, notably pH and temperature shifts from pH 7.4/26 °C in the insect vector to pH 5.5/37 °C in the vertebrate host for visceral *Leishmania* species (Zilberstein and Shapira 1994; Sibley 2011). Using various stress protein inhibitors, including geldanamycin and cyclosporine A, a regulatory role of the *Leishmania* chaperones HSP90 and cyclophilin 40 in stage development has been uncovered (Wiesgigl and Clos 2001; Yau et al. 2010). Thus stress protein activities are functionally linked to adaptive differentiation, which may have unique consequences for chaperone evolution in these organisms.

Second, trypanosomatids represent a family belonging to the likely early-branching eukaryotic supergroup Excavata (e.g., Hampl et al. 2009; He et al. 2014; Forterre 2015) with unique biological and genetic features that may impact on evolution of the HSP70 family. In particular, regulation of gene expression in these eukaryotes does not follow the paradigm of transcriptional regulation via *trans*-acting factors that

regulate transcript abundance by binding to *cis*-acting sequence elements. Indeed, TriTryp genomes do not code for regulatory transcription factors and gene expression is largely constitutive (Clayton 2002; Leifso et al. 2007). As a consequence, the need for increased chaperone activity under stress conditions is fulfilled either by increased stability or preferential translation of respective mRNA (Quijada et al. 2000; Zilka et al. 2001; David et al. 2010; Droll et al. 2013), HSP phosphorylation (Hem et al. 2010; Morales et al. 2010), or amplification of *HSP* genes (Wiesgigl and Clos 2001). Conceivably, the potential regulation of HSP70 protein abundance by gene duplication may have a major impact on the evolution of this family in these protists.

Despite the importance of environmental stress in development and infectivity of pathogenic trypanosomatids, and their unique biology to regulate protein abundance independent of transcriptional regulation, only little is known on how these features shaped the evolution of stress protein families in these early-branching eukaryotes. Here, combining phylogenetic and comparative analyses of the trypanosomatid genomes, a draft genome of early-branching *Paratrypanosoma* and recently published genome sequences of 204 *L. donovani* field isolates from the Indian sub-continent (Imamura et al. 2016), we uncover unique, parasite-specific features in both canonical and non-canonical HSP70 family members. We demonstrate genomic expansion of this family in *Leishmania*, and provide evidence for its adaptation at various taxonomic levels ranging from the trypanosomatid family down to parasite strain. Our data uncover that the trypanosomatid HSP70 protein family is shaped by gene loss and gene birth as a result of the remarkable genome plasticity of these eukaryotic pathogens.

Materials and Methods

Genome Information and Sequencing

A draft genome sequence of *Paratrypanosoma confusum* (strain CUL13-MS, Flegontov et al. 2013) was produced by sequencing total DNA using Illumina MiSeq system with paired-end (insert size 450 bp) and mate-pair (insert size 2–6 kb) libraries. AUGUSTUS 2.5.5 (Stanke et al. 2006) was used for genome annotation, which was further manually improved. An updated draft genome sequence of *Leishmania donovani* (BPK282/Ocl4, referred to in this manuscript using the internal reference number LdPBQ7IC8 to better distinguish this sequence from the current reference LdBPK282A1) was created using Pacific Biosciences Single Molecule, Real-Time (SMRT) Sequencing (unpublished) with a weighted median length (N50) of 11.7 kb. Final iterative base and indel corrections were performed with BPK282/Ocl4 Illumina reads used for the previous assembly (Downing et al. 2011). Sequencing information of 204 field isolates of *L. donovani* together with corresponding clinical and

epidemiological meta-data were published elsewhere (Imamura et al. 2016).

SNPs Analysis

Single-nucleotide polymorphisms (SNPs) were identified using read aligner SMALT v7.4 (sourceforge.net/projects/smalt/files/) and genetic variation identifying tool GenomeAnalysisTK-3.4 (GATK) Unified Genotyper (McKenna et al. 2010). All candidate SNPs were visually checked and false positive SNPs were removed using the Integrative Genomic Viewer (IGV_2_3_47, Thorvaldsdottir et al. 2013). Allele frequency was generated using samtools pileup repetitive regions where reads were mapped identically at multiple locations because GATK did call genetic variants on these regions. Median copy number of the *HSP70* genes was calculated based on alignment depth and was calibrated to be one for a single copy gene on a diploid chromosome. When reads were mapped to multiple locations equally, they were randomly assigned to one position.

HSP70 Family Member Search

A total of 16 coding sequences (CDS) were retrieved from the *L. major* CDS set (as available in GeneDB, Logan-Klumpler et al. 2012) by performing reciprocal BLAST searches (blastp, Altschul et al. 1997) using human HSPA1 as a query. Each of these 16 putative HSP70 members were used as query to perform a PSI-BLAST search (Altschul et al. 1997) against all *L. major* predicted coding sequence (CDS), but no new homologous sequences were discovered. Finally, all 16 CDS were confirmed to belong to the HSP70 family by comparison with its corresponding Pfam profile (pfam00012; Finn et al. 2015).

Gene Density Analysis

Different sets of CDS were collected from public databanks for six euglenozoans, four apicomplexans, one amoebozoan, five fungi, and two metazoa (see the full taxon list in fig. 3). In order to gather HSP70 family members of each of these selected taxa, the allele sequence of LmjF.28.2770 was used as a query to perform a first BLAST similarity search (blastp) against each CDS sets, and each of the first hits was used as query to perform a PSI-BLAST search. The *HSP70* gene density was estimated by the percentage of CDS belonging to the HSP70 family.

Multiple Sequence Alignments and Phylogenetic Analyses

Multiple amino acid sequence alignments were obtained with MAFFT (Kato and Standley 2013). All phylogenetic analyses were performed on aligned character regions selected with BMGE (Crisuolo and Gribaldo 2010). Tree inferences were performed by PhyML (Guindon and Gascuel 2003) with SPR-based optimal tree searches (Guindon et al. 2010) and amino acid evolutionary model LG + Γ_4 + I (Le and Gascuel 2008). In

order to minimize the number of irresolutions within phylogenetic trees inferred from very similar amino acid sequences, some specific phylogenetic reconstructions were performed from codon sequence alignments obtained by back-translating multiple amino acid sequence alignments. Aligned character regions were selected by BMGE and phylogenetic trees were inferred by PhyML with evolutionary model GTR + Γ_4 + I (Rodríguez et al. 1990). To explore the evolutionary history of *HRP4*, we have created datasets for phylogenetic analyses from publicly available sequences for *HRP4* orthologs and conserved cytosolic *Hsp70* (LinJ.28.2960) using blastp at an *E*-value cut-off of 10^{-20} . All amino acid sequences were validated for the presence of expected domains by Pfam.

Synteny Assessment

Interactive visualization of the syntenic organization of the *Leishmania* genomes was performed using the SynTVIEW web tool (Lechat et al. 2013). Results are publicly available via a web interface at the following URL <http://genopole.pasteur.fr/SynTVIEW/flash/Leishmania/SynWeb.html> (last accessed June 18, 2016) for four *Leishmania* genomes: *L. major* strain Friedlin (NC_001905, NC_004916, NC_007244-73, NC_007284-87; Ivens et al. 2005; Rogers et al. 2011), *L. infantum* JPCM5 (NC_009277, NC_009386-420; Peacock et al. 2007; Rogers et al. 2011), *L. donovani* BPK282A1 (NC_018228-63; Downing et al. 2011), and LdPBQ7IC8.

Results

Characterization of *L. major* HSP70 Protein Family Members

Members of the HSP70 protein family are characterized by conserved signature domains and sequence elements, including an N-terminal nucleotide binding domain of about 45 kDa (NBD) and a C-terminal substrate binding domain of about 25 kDa (SBD), which both are connected through a protease sensitive linker element (Liu and Hendrickson 2007). Using reciprocal BLAST and PSI-BLAST searches, we identified a total of 16 genes within the *L. major* CDS with homology to either the HSP70 domain (accession numbers pfam00012, PTZ00009, and PTZ00186) or the NBD sub-domain (accession numbers cd10233, cd11733, and cd10170) with *E*-values of $0-3.6 \times 10^{-4}$, and $0-2.6 \times 10^{-4}$, respectively (fig. 1, left panel and table 1). Five family members (LmjF.26.0900, LmjF.18.1370, LmjF.35.4710, LmjF.29.1240, and LmjF.32.0190) showed considerable C-terminal sequence extensions that are conserved across *Leishmania* species (data not shown), likely conferring highly trypanosomatid-specific functions to these proteins. In addition, unlike most eukaryotic HSP70 proteins, three *L. major* HSP70 members showed additional domains, including a domain of unknown function (DUF3919) characterized by a conserved YLNG motif (LmjF.28.1200), a Hydantoinase/Oxoprolinase domain

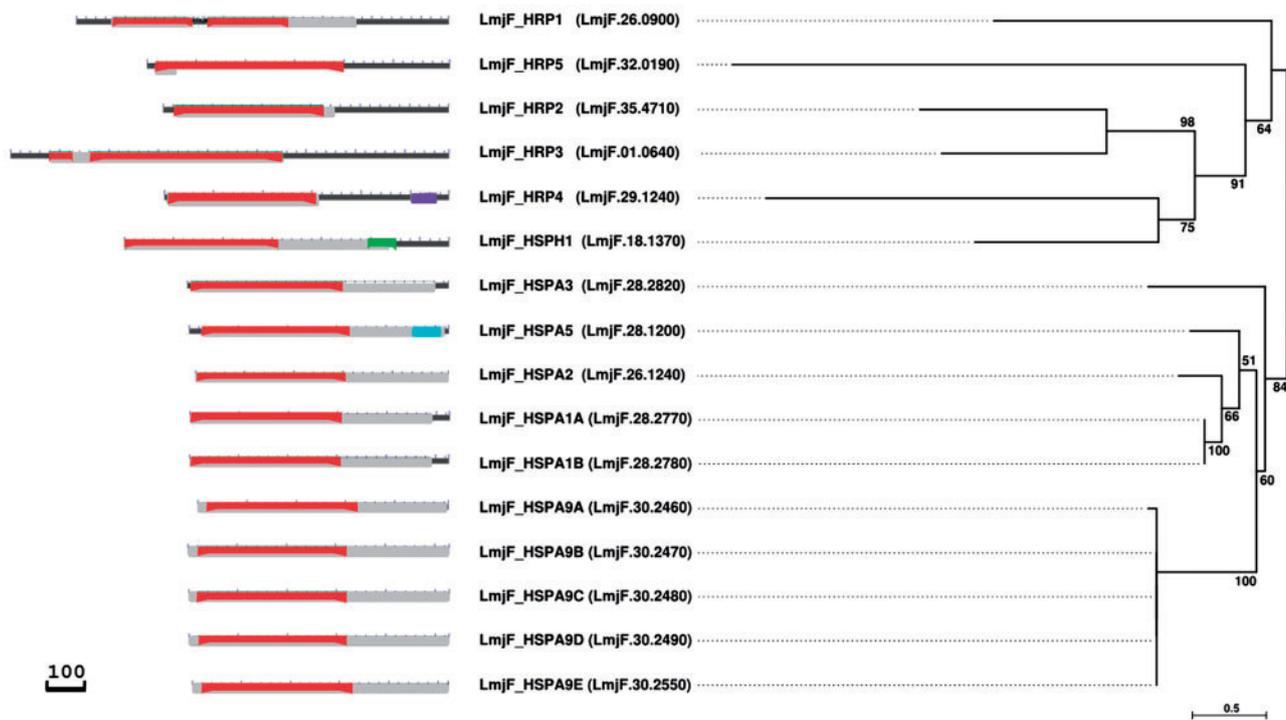


Fig. 1.—Identification of *L. major* HSP70 family members. Domain structure (left) and unrooted ML phylogenetic tree (right) of *L. major* HSP70 family members (proposed and current gene names are denoted in the middle). Domain structures were built using the NCBI Batch CD-search (Marchler-Bauer et al. 2015) and curated manually respecting the identified domain boundaries denoted in table 1. Black, protein backbone; red, nucleotide binding domain (cd10233, cd17037, cd11733, cd10228, cd10230, and cd10170); gray, HSP70 domain (PTZ00009, pfam00012, PTZ00186, and PTZ00400); purple, TPR domain (pfam00515, cl22897, and pfam13424); cyan, protein domain of unknown function (DUF3919); green, Hydantoinase/oxoprolinase domain (pfam01968). Left and right scale bars represent 100 amino acids and 0.5 amino acid substitutions per site, respectively. Confidence support at the branches of the tree is based on 100 bootstrap replicates.

(accession number pfam01968) involved in hydrolysis of pyrimidine precursors (Syldatk et al. 1999; LmjF.18.1370), and a tetratricopeptide (TPR) domain (accession number pfam13424) involved in protein–protein interaction (Blatch and Lasse 1999; LmjF.29.1240; see table 1 and fig. 1).

We next performed phylogenetic analysis of the *L. major* HSP70 family members to gain insight into their evolutionary relationship and to propose new allele names in accordance with the recommendations of the HUGO Gene Nomenclature Committee (www.genenames.org/genefamilies/HSP, last accessed June 18, 2016). The tree in fig. 1 displays a strongly supported clade (i.e., 100% bootstrap support) of five highly related genes (see fig. 2) encoded on chromosome 30 (LmjF.30.2460–90 and LmjF.30.2550), which we propose to name LmjF_HSPA9A–E (table 1 and fig. 1) as they cluster together with human mitochondrial HSP70 member HSPA9 with strong bootstrap support (supplementary fig. S1, Supplementary Material online) and are characterized by a C-terminal acidic poly-glutamine stretch diagnostic for mitochondrial HSP70 members (Louw et al. 2010; see fig. 2B). Even though LmjF.30.2460 lacks this signature motif, its phylogenetic relationship to the other members of this cluster defines this gene as an LmjF_HSPA9 homolog.

The tree in fig. 1 also reveals four HSP70 family members (LmjF.28.2770, LmjF.28.2780, LmjF.28.1200, and LmjF.26.1240) that are grouped together. Despite the corresponding clade being only moderately supported (i.e., 51% bootstrap support caused by a phylogenetic signal that is likely too weak to lead to a significant bootstrap support for this deep part of the tree; see also supplementary fig. S1, Supplementary Material online), they show high conservation of NBD, linker, SBD, signature domains involved in phosphate and adenosine binding, and residues that interact with HSP40 or are implicated in substrate binding or allosteric regulation of inter-domain function (fig. 2A). Based on the presence of a conserved C-terminal EEVD motif and clustering with the canonical human cytosolic HSP70 members HSPA1A (supplementary fig. S1, Supplementary Material online), we propose to name LmjF.28.2770, LmjF.28.2780, and LmjF.26.1240, respectively, LmjF_HSPA1A, LmjF_HSPA1B, and LmjF_HSPA2 (table 1 and fig. 1). Clustering with human HSP5A (supplementary fig. S1, Supplementary Material online) and the presence of a conserved MDDL ER-retention motif (fig. 2A) reveals LmjF.28.1200 as the *Leishmania* ortholog of BiP (Folgueira and Requena 2007), which is consequently named LmjF_HSPA5 (table 1 and fig. 1). Another

Table 1The *L. major* HSP70 protein family

Gene ID	<i>M_w</i>	AA	GeneDB annotation	Name ^a	Domains (<i>E</i> -value)
LmjF.28.2770	71.7	658	HSP70, putative	LmjF_HSPA1A	cd10233 , 6-384 (0); cl17037, 6-384 (0); PTZ00009 , 1-616 (0); pfam00012, 6-615 (0)
LmjF.28.2780	71.7	658	HSP70, putative	LmjF_HSPA1B	cd10233 , 6-384 (0); cl17037, 6-384 (0); PTZ00009 , 1-616 (0); pfam00012, 6-615 (0)
LmjF.26.1240	70.6	641	HSP70.4	LmjF_HSPA2	cd10233 , 6-382 (0); cl17037, 6-382 (0); PTZ00009 , 1-641 (0); pfam00012, 6-613 (0)
LmjF.28.1200	71.9	658	BiP	LmjF_HSPA5	cd10233 , 36-408 (0); cl17037, 36-408 (0); PTZ00009 , 34-649 (0); pfam00012, 38-642 (0); pfam01968, 208-250 (9e-05); cl00668, 208-250 (9e-05); pfam01968, 208-250 (9.0e-05); cl00668 208-250 (9.0e-05); pfam13057 , 568-642 (4.6e-3); cl16063, 568-642 (4.6e-3)
LmjF.30.2460	68.9	635	HSP70-related protein 1	LmjF_HSPA9A	cd11733 , 26-402 (0); cl17037, 26-402 (0); PTZ00186 , 1-633 (0); pfam00012, 29-625 (0)
LmjF.30.2470	71.9	662	HSP70-related protein 1	LmjF_HSPA9B	cd11733 , 26-402 (0); cl17037, 26-402 (0); PTZ00186 , 1-662 (0); pfam00012, 29-625 (0)
LmjF.30.2480	71.9	662	HSP70-related protein 1	LmjF_HSPA9C	cd11733 , 26-402 (0); cl17037, 26-402 (0); PTZ00186 , 1-662 (0); pfam00012, 29-625 (0)
LmjF.30.2490	71.6	660	HSP70-related protein 1	LmjF_HSPA9D	cd11733 , 26-402 (0); cl17037, 26-402 (0); PTZ00186 , 1-660 (0); pfam00012, 29-625 (0)
LmjF.30.2550	70.6	652	HSP70-related protein 1	LmjF_HSPA9E	cd11733 , 26-402 (0); cl17037, 26-402 (0); PTZ00186 , 1-652 (0); pfam00012, 29-625 (0)
LmjF.28.2820	72.3	662	HSP70, putative	LmjF_HSPA3	cd10233 , 10-395 (1.6e-172); cl17037, 10-395 (1.6e-172); pfam00012 , 10-628 (0); PTZ00009, 6-555 (0)
LmjF.18.1370	91.8	823	HSP110, putative	LmjF_HSPH1	cd10228, 2-390 (0); cl17037, 2-390 (0); pfam02782, 286-388 (4.4e-06); cd17173 , 618-689 (8.5e-3)
LmjF.26.0900	104.1	944	HSP70-like protein	LmjF_HRP1	cd10170 , 94-538 (3.5e-92); cl17037, 94-538 (3.5e-92); pfam00012 , 93-545 (5.7e-69); PTZ00400, 84-547 (1.8e-52)
LmjF.35.4710	78.8	723	Hypothetical protein	LmjF_HRP2	cd10230 , 28-407 (1.7e-138); cl17037, 28-407 (1.7e-138); pfam00012 , 27-436 (3.2e-43); PTZ00186, 5-407 (2.7e-28)
LmjF.01.0640	117.5	1112	HSP70-like protein	LmjF_HRP3	cd10230 , 99-690 (3.1e-74); cl17037, 99-690 (3.1e-74); pfam00012 , 335-689 (1.6e-19); PTZ00186, 399-689 (4.2e-13);
LmjF.29.1240	78.9	722	Hypothetical protein	LmjF_HRP4	cd10170 , 8-384 (1.2e-29); cl17037, 8-384 (1.2e-29); pfam00012 , 8-386 (3.2e-14); PTZ00186, 8-386 (10e-06); pfam00515, 659-692 (2e-4); cl22897, 659-692 (2e-4); pfam13424 , 625-691 (3.4e-4)
LmjF.32.0190	81.5	768	Hypothetical protein	LmjF_HRP5	cd10170 , 23-498 (2.2e-06); cl17037, 23-498 (2.2e-06); pfam00012 , 23-73 (2.6e-4); PTZ00186, 20-73 (3.6e-4)

^aProposed name following the nomenclature assigned by the HUGO Gene Nomenclature Committee (<http://www.genenames.org/genefamilies/HSP>, last accessed June 18, 2016) and used in the NCBI Entrez Gene database for human HSPs. cd10233, Nucleotide-binding domain of HSPA1-A, -B, -L, HSPA-2, -6, -7, -8, and similar proteins (HSPA1-2_6-8-like_NBD); cl17037, nucleotide-binding domain of the sugar kinase/HSP70/actin superfamily (NBD_sugar-kinase_HSP70_actin); PTZ00009, heat shock 70 kDa protein domain; pfam00012, HSP70 domain; pfam01968, Hydantoinase/oxoprolinase domain; cl00668, Hydantoinase_A Superfamily; pfam13057, Protein domain of unknown function (DUF3919); cl16063, protein domain of unknown function (DUF3919); cd11733, nucleotide-binding domain of human HSPA9, *Escherichia coli* DnaK, and similar proteins (HSPA9-like_NBD); PTZ00186, heat shock 70 kDa precursor protein domain; cd10228, nucleotide-binding domain of 105/110 kDa heat shock proteins including HSPA4 and similar proteins (HSPA4_like_NBD); pfam02782, FGGY family of carbohydrate kinases, C-terminal domain (FGGY_C); cl17173, AdoMet_MTases superfamily; cd10230, nucleotide-binding domain of human HYOU1 and similar proteins (HYOU1-like_NBD); cd10170, nucleotide-binding domain of the HSP70 family (HSP70_NBD); PTZ00400, DnaK-type molecular chaperone; pfam00515, tetratricopeptide repeat domain TPR_1; cl22897, TPR_1 superfamily; pfam13424, tetratricopeptide repeat domain TPR_12. In bold are the domains shown in fig. 1.

conserved *L. major* HSP70 family member includes LmjF.28.2820 (51% identity to the LmjF_HSPA1 HSP70 domain; see [supplementary table S1, Supplementary Material online](#)) that we propose to name LmjF_HSPA3 (table 1, and fig. 1 and [supplementary fig. S2, Supplementary Material online](#)).

Finally, five *L. major* HSP70 family members show substantial divergence to the canonical HSP70 domain (upper clade in

fig. 1 and [supplementary fig. S1, Supplementary Material online](#)), including LmjF.26.0900, LmjF.35.4710, LmjF.01.0640, LmjF.29.1240, and LmjF.32.0190, which we propose to name, respectively, *Leishmania* HSP70-related protein (HRP) 1, 2, 3, 4, and 5 ([supplementary figs. S4–S8, Supplementary Material online, table 1 and supplementary table S1, Supplementary Material online](#)). These five sequences made up a strongly supported clade (i.e., 84

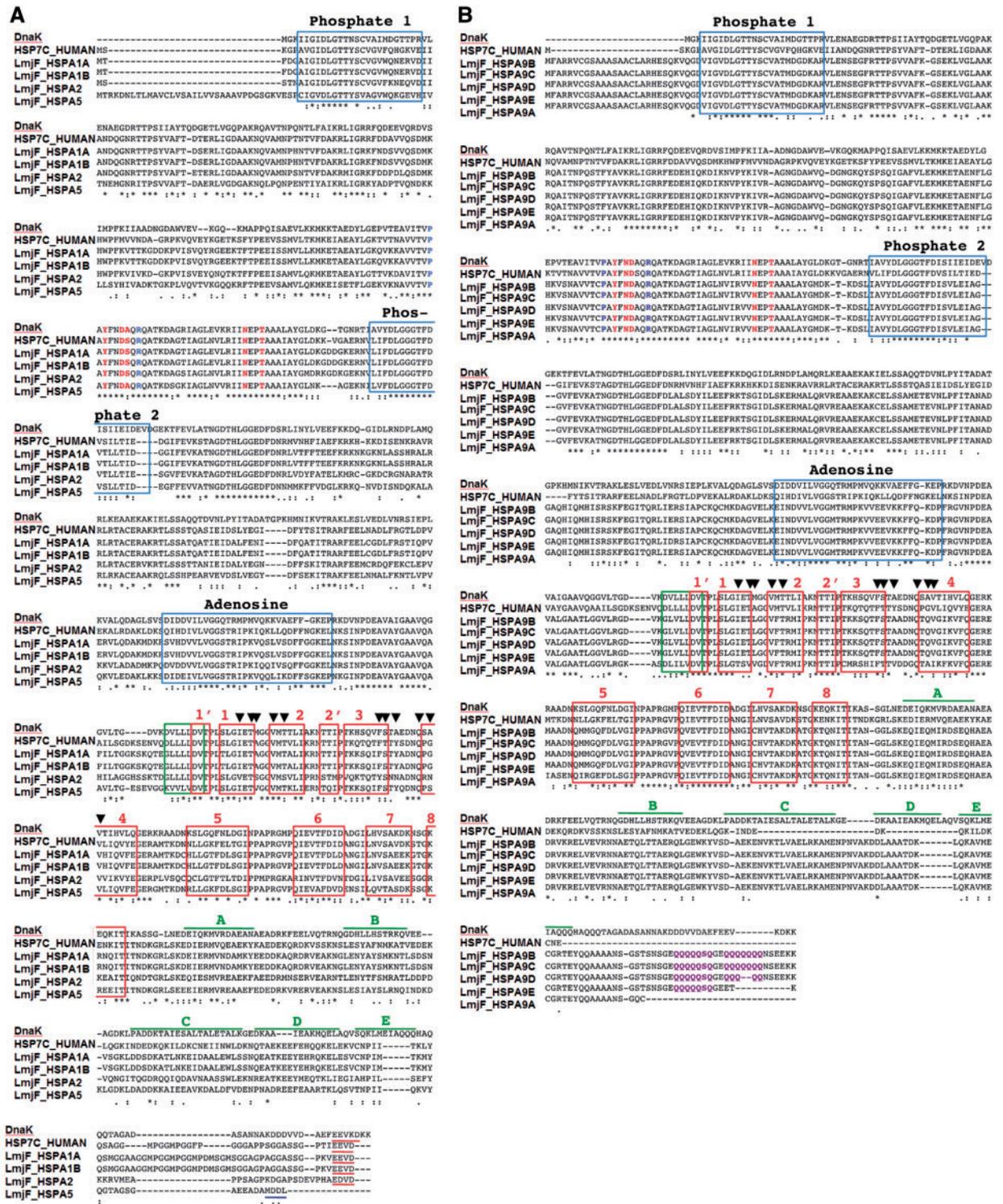


Fig. 2.—Multiple sequence alignment of cytoplasmic (A) and mitochondrial (B) canonical *L. major* HSP70 members. Sequences of the *L. major* HSP70 family members were aligned with *E. coli* DnaK (acc. no. EDX37800) and human HSC70 (acc. no. P11142). Blue boxes, sequence elements implicated in nucleotide binding (phosphate-1, phosphate-2, and adenosine); green box, linker; red boxes, sub-domains of the beta-sheet; blue, residues involved in allosteric switching and inter-domain function (P143 and R151 in *E. coli* DnaK; Vogel, et al. 2006); red, residues interacting with the DnaJ domain of HSP40 (Y145, N147, D148, N170, and T173 in *E. coli* DnaK; Gassler et al. 1998; Suh et al. 1998); red, acidic Q stretch characteristic of mitochondrial HSP70; black arrow heads, residues in contact with the substrate (Mayer et al. 2000); red underlined, terminal EEV motif; blue underlined, terminal ER retention signal (Pelham 1989); green lines, lid sub-domains of DnaK. Adapted from Shonhai, et al. 2007.

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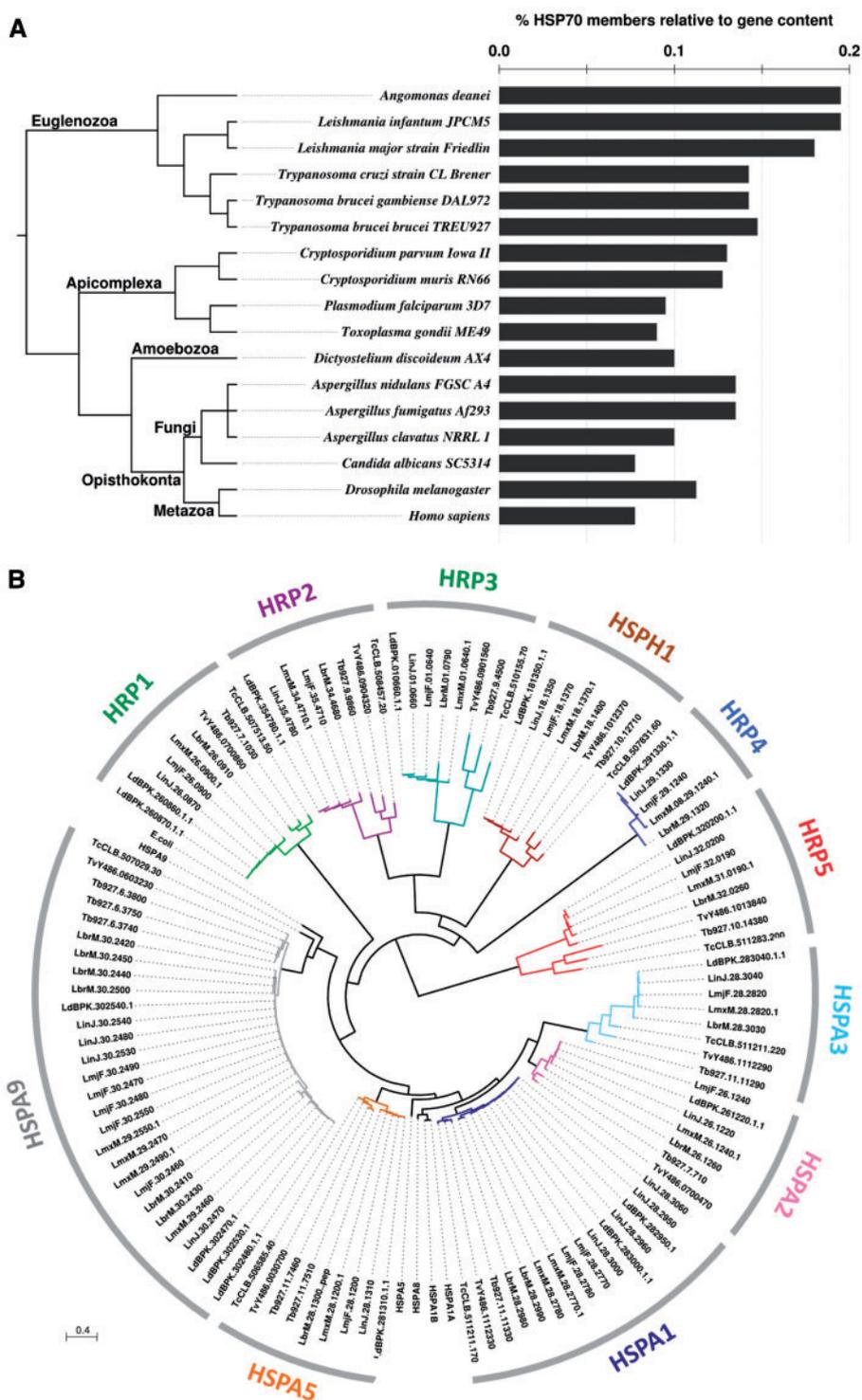


FIG. 3.—Comparative analyses of the *L. major* HSP70 family. (A) HSP70 gene coding density. Number of HSP70 members relative to the genome size of selected eukaryotes is shown. The phylogenetic relationship (left) is freely derived from consensus knowledge on eukaryote systematics (Adl et al. 2012; He et al. 2014; Williams 2014). (B) Unrooted ML phylogenetic tree of the TriTryp HSP70 family. *E. coli* DnaK (acc. no. WP_000516138.1), human HSPA9 (AAH24034.1), HSP1A1A (AAH18740.1), HSPA1B (EAX03529.1), HSPA5 (AAI12964.1), and HSPA8 (AAH07276.2) were included to identify the cluster of potential mitochondrial, cytoplasmic, or ER HSP70 family members. The different colors correspond to different phylogenetic clusters that are labeled according to table 1. Scale bar represents 0.4 amino acid substitutions per site.

bootstrap support), with one additional member, LmjF.18.1370 (table 1 and fig. 1 and [supplementary fig. S3, Supplementary Material](#) online), that is currently annotated as putative HSP110 and is proposed to be named LmjF_HSPH1 (table 1 and fig. 1) as it groups in proximity with members of the human HSP70-related sub-family HSPH ([supplementary fig. S1, Supplementary Material](#) online).

The *Leishmania* HSP70 Family Shows Evolutionary Expansion Compared to Other Eukaryotes and is Largely Conserved across Trypanosomatids

Given the small size of the *L. major* reference genome of 32.8 Mb, the high number of HSP70 family members may indicate evolutionary expansion, likely as an adaptation to the various forms of stress encountered during the parasitic life cycle. Comparison of the *HSP70* gene density (number of *HSP70* genes normalized to total gene number, see [supplementary table S2, Supplementary Material](#) online) supports this hypothesis, as judged by a higher density in trypanosomatids compared with most other eukaryotes, including apicomplexan parasites or pathogenic fungi (fig. 3A). This expansion is reflected for example by the amplification and functional specialization of the mitochondrial HSP70 members as detailed below (see fig. 5C). Surprisingly, despite exposure to similar environmental challenges during infection, the number of *HSP70* orthologs between trypanosomatids is significantly different, suggesting specific evolution of the HSP70 family down to the species level. We further investigated species-specific HSP70 evolution across the order Trypanosomatida by phylogenetic analysis.

Homologous sequences of the *L. major* HSP70 members were retrieved for various *Leishmania* and *Trypanosoma* species, and phylogenetic analysis was performed including canonical human and bacterial HSP70 sequences, leading to overall 11 distinct groups (i.e., HSPA1, HSPA2, HSPA3, HSPA5, HSPA9, HRP1, HRP2, HRP3, HRP4, HRP5, and HSPH1; see fig. 3B). Most HSP70 members in these groups show a closer relationship across the various *Leishmania* and *Trypanosoma* species than to other HSP70 family members inside the same species, suggesting that duplication events at the origin of these genes occurred in a common trypanosomatid ancestor. In contrast, the *L. major* orthologs for human *HSPA1A/B* and *HSPA9*, which include two and five largely identical genes, respectively, cluster out in a species-specific manner (see also fig. 5C and [supplementary fig. S1, Supplementary Material](#) online).

Despite the high conservation of the various HSP70 family members across the trypanosomatids, there are several interesting differences that inform on the dynamic evolution of this protein family in these closely related protists. First, the genomes of *Trypanosoma* spp. do not code for an HRP4 ortholog, which was either acquired in *Leishmania* spp. after the evolutionary

separation of both trypanosomatid genera, or selectively lost in the *Trypanosoma* lineage. Second, the number of canonical cytoplasmic and mitochondrial HSP70 members varies considerably among trypanosomatids, suggesting species-specific evolution that may be driven by different environmental constraints. Below, we investigate in more detail these two important evolutionary aspects.

Leishmania HRP4 is a Co-chaperone with Unusual Domain Structure Lost from the *Trypanosoma* Genome

The *Leishmania* HSP70 family member HRP4 attracted our attention for further bioinformatics assessment due to its unusual domain structure and its absence in the related genus *Trypanosoma*. An initial analysis by multiple sequence alignment revealed an N-terminal sequence extension of 153 nucleotides unique to the otherwise highly conserved *L. tarentolae* HRP4 homolog ([supplementary fig. S10, Supplementary Material](#) online). We predicted a similar extension of 171 nucleotides to be also part of the *L. donovani* HRP4 coding sequence based on our own manual ORF analysis performed with the genome sequence surrounding this locus (data not shown), and the high sequence conservation of 83% in this region compared to 57% of the putative 5' UTR (fig. 4A and [supplementary fig. S11, Supplementary Material](#) online). Re-interrogation of our previous proteomics analysis (Hem et al. 2010) indeed identified a diagnostic peptide for this longer *L. donovani* HRP4 CDS (fig. 4B), thus confirming its expression and revealing mis-annotation of HRP4 (with the exception of *L. tarentolae*) in all current *Leishmania* public genome releases that lack this N-terminal part of the protein.

We next investigated the unusual domain structure of HRP4, which is composed of a divergent, N-terminal, HSP70-related NBD, and a C-terminal TPR domain (fig. 1 and [supplementary S7, Supplementary Material](#) online and table 1) that can confer interaction with HSP70 and HSP90 (Blatch and Lasse 1999). Both domains are equally well conserved across different *Leishmania* species (fig. 4C and [supplementary fig. S12, Supplementary Material](#) online), but show a distinct evolutionary pace when compared to the HRP4 orthologs in the related parasitic plant trypanosomatid *Phytomonas* spp., and parasitic insect trypanosomatids *Angomonas deanei* and *Strigomonas culicis*. The respective TPR domains of these organisms show between 68 and 80% sequence identity to *L. major* HRP4, whereas the sequence identity of the NBD ranges only from 41 to 46% (fig. 4C), suggesting a stronger selection pressure on the maintenance of protein-protein interaction rather than conservation of the NBD.

The absence of an HRP4 ortholog in *Trypanosoma* spp. raises interesting questions on the evolutionary history of this protein, i.e., whether it has been specifically acquired by

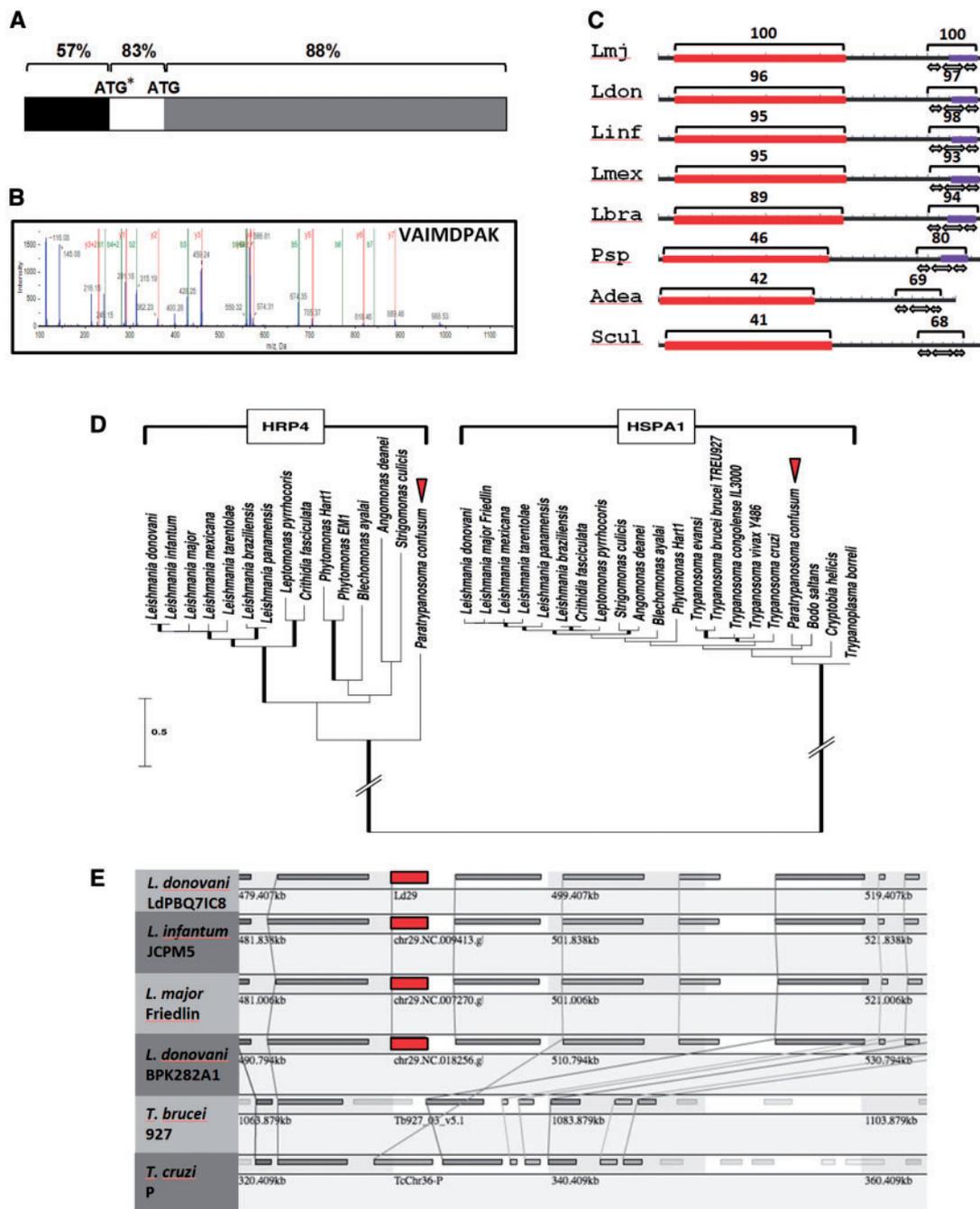


FIG. 4.—Absence of *HRP4* in the *Trypanosoma* spp. genome is due to chromosomal deletion. (A) *Re-annotation of the L. donovani HRP4 ORF*. Schematic representation of the *L. donovani HRP4* CDS region. The percent nucleotide identity is indicated for 5' UTR (black), the putative additional coding sequence of 171 nucleotides of *L. donovani HRP4* (white), and the currently annotated ORF (gray). The numbers indicate the percent of nucleotide identity to the *L. tarentolae HRP4* CDS region (see [supplementary fig. S11, Supplementary Material](#) online). ATG, currently mis-annotated start codon; ATP*, correct start codon identified in this study. (B) *Validation of the HRP4 ORF by proteomics analysis*. The spectrum of the peptide VAIMDPAK that is diagnostic for the additional 57 amino acids of the longer ORF is shown as identified by LC-MS-MS analysis of *L. donovani* LdBob (Hem et al. 2010). (C) *HRP4 domain structure*. The domain structure for *HRP4* was built using the NCBI Batch CD-search (Marchler-Bauer et al. 2015) and curated manually. The number indicates % identity to the *L. major HRP4* protein across the domains indicated. Black, protein backbone; red, nucleotide binding domain (cd10170); purple, TPR domain (pfam13424); white arrows, TPR repeats. (D) *ML phylogenetic tree of HRP4 and HSPA1 sequences*. Thick branches correspond to bootstrap-based confidence support > 70%. Scale bar represents 0.5 amino acid substitutions per site. Of note, the long-branch joining the two clades was shortened for better reading. (E) *Synteny analysis of HRP4*. Synteny view of the *HRP4* locus (red) and surrounding genes across the indicated *Leishmania* and *Trypanosoma* species. Homologous genes are connected by lines. The *HRP4* gene is indicated in red.

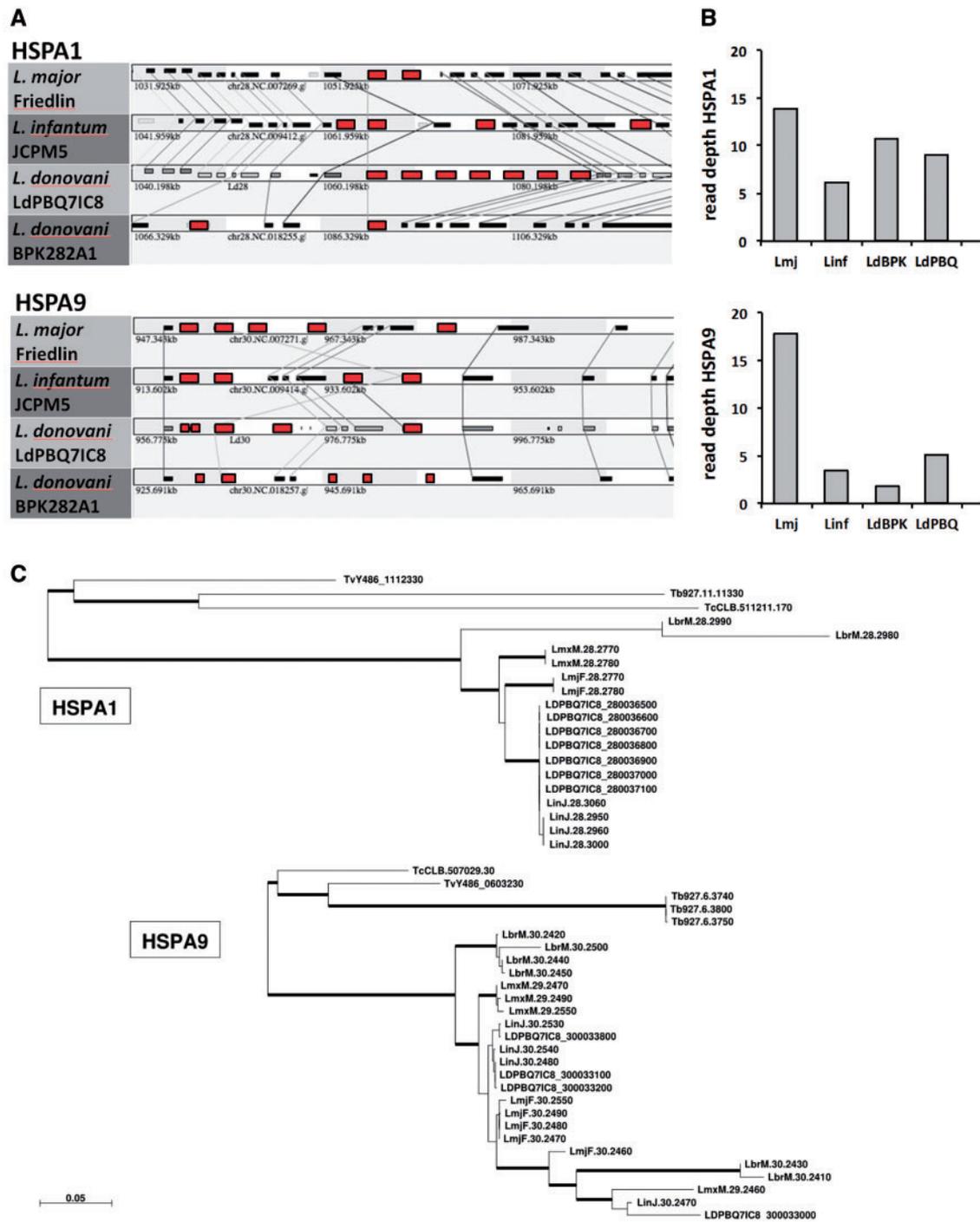


FIG. 5.—Species-specific copy number variation of the *Leishmania* HSPA1 and HSPA9 sub-families. (A) Synteny analysis. Synteny view of the HSPA1 (upper panel, colored in red) and HSPA9 loci (lower panel, colored in red) across the different *Leishmania* species indicated. Homologous genes are connected by lines. (B) Read depth analysis. The cumulative number of read counts that map to the annotated HSPA1 and HSPA9 genes in the various reference strains is plotted. Lmj, *L. major* Friedlin; Linf, *L. infantum* JCPM5; LdBPK, *L. donovani* LdBPK282A1; LdBQ, *L. donovani* LdBQ7IC8. (C) ML phylogenetic trees of HSPA1 and HSPA9 sequences. Thick branches correspond to bootstrap-based confidence support > 70%. Scale bar represents 0.05 nucleotide substitutions per site. Of note, same ML trees were inferred when using codon evolutionary models (Gil et al. 2013).

a common ancestor of *Leishmania*, *Phytomonas*, *Leptomonas*, *Crithidia*, *Angomonas*, and *Strigomonas*, or whether it has been lost in *Trypanosoma* spp. We used the draft genome of the early branching trypanosomatid *Paratrypanosoma confusum* and phylogenetic analysis to distinguish between these possibilities. Despite the large number of clades that are weakly supported by the bootstrap analysis, the phylogenetic subtree of the slowly evolving and, therefore, highly conserved trypanosomatid HSPA1 orthologs recapitulated the recently published evolutionary relationship between these organisms (Flegontov et al. 2013) clustering *Leishmania*, *Angomonas* and *Strigomonas* together, whereas *Paratrypanosoma* creates its own well-separated basal clade (fig. 4D). Phylogenetic analysis of HRP4 across trypanosomatids confirmed the absence of this protein in *Trypanosoma* spp., but identifies an early emerging HRP4 ortholog in *Paratrypanosoma*. This finding suggests that HRP4 was likely present in the common ancestor of all trypanosomatids and thus has been lost by members of the genus *Trypanosoma* for unknown reasons. Synteny analysis further confirmed the loss of HRP4 and an adjacent gene (LmjF.29.1250, coding for a hypothetical protein) in the otherwise syntenic chromosomal region of *T. brucei* and *T. cruzi* (fig. 4E, data accessible via URL <http://genopole.pasteur.fr/SynTVView/flash/Leishmania/SynWebTryp.html>, last accessed June 18, 2016).

Species-specific Copy Number Variation of the *Leishmania* HSPA1 and HSPA9 sub-families

Our phylogenetic analysis of the trypanosomatid HSP70 family revealed a significant difference in the gene number between *Leishmania* species, notably for the cytoplasmic and mitochondrial HSP70 gene arrays HSPA1 on chromosome 28 and HSPA9 on chromosome 30, respectively, suggesting that both loci may be under species-specific selection. We more closely investigated the evolution of these genes in their respective genomic contexts analyzing the synteny between all HSP70 loci in *L. major*, *L. infantum*, and the *L. donovani* reference genome LdBPK282A1 using the SynTVView web tool (Lechat et al. 2013). The repetitive regions of the first published draft genome of *L. donovani* (LdBPK282A1; Downing, et al. 2011) were not fully assembled because the length of 454 and Illumina reads was too short for reconstruction of repetitive regions (Downing et al. 2011). Reads from BPK282/Ocl4 (referred here to as LdPBQ7IC8) generated by Pacific Biosciences SMRT sequencing (unpublished) were able to reduce over 2,500 existing gaps to 20 and achieved better annotations for previously mis-assembled regions. Our analysis documents synteny across all species and all HSP70 family members (data not shown but accessible via URL <http://genopole.pasteur.fr/SynTVView/flash/Leishmania/SynWeb.html>, last accessed June 18, 2016), but revealed substantial gene copy number variations for the HSPA1 and HSPA9 gene arrays across the different *Leishmania* species

(fig. 5A and supplementary table S3, Supplementary Material online) and confirms important differences in copy number of these genes to the *L. major* genome database (Folgueira et al. 2007). To more accurately estimate the number of gene copies, we exploited recently published HTseq data (Rogers et al. 2011). Read depth analysis uncovered even more dramatic changes in copy number across various *Leishmania* spp., with *L. major* showing the highest rate of gene amplification with estimated 14 and 17 copies for HSPA1 and HSPA9, respectively (fig. 5B). No CNV was observed for all other HSP70 members, which are all encoded by single copy genes.

The availability of a high-quality *L. donovani* genome sequence allowed us to investigate in more detail the evolutionary dynamics of these gene arrays between related species using phylogenetic analysis. Re-drawing the phylogenetic tree shown in fig. 3B using this new sequence information did not change the overall clustering of the HSP70 family members (supplementary fig. S9, Supplementary Material online). However, an increased resolution of the HSPA1 and HSPA9 clades was obtained. All HSPA1 genes cluster in an intra-species-specific manner (i.e., they form species-specific clusters), suggesting the occurrence of gene duplication after *Leishmania* speciation (fig. 5C). In contrast, the HSPA9 genes of the different species cluster in an interspersed way, drawing a more complex picture of gene duplication events that occurred before *Leishmania* speciation (e.g., LmjF30.2460 and its orthologs), and thereafter (all other genes).

The *L. donovani* HSPA1 Array may be a Hot Spot of Environment-genotype Interactions

The highly dynamic structure of the *Leishmania* HSPA1 and HSPA9 gene loci across *Leishmania* species primed us to investigate if these gene arrays may even have evolved in a strain-specific manner. We investigated this possibility drawing from recently published HTseq data of 204 *L. donovani* field isolates from the Indian sub-continent (Imamura et al. 2016) and using the PacBio-sequenced isolate LDPBQ7IC8 as a reference. As indicated by the heat map shown in fig. 6, most single-copy HSP70 family members show little or no variation in gene copy number. One exception is represented by the HRP2 gene locus that shows duplication in one branch of the Indian strains as part of a large sub-telomeric amplification in chromosome 35 reported elsewhere (Downing et al. 2011). A highly dynamic evolutionary pattern was revealed for the HSPA1 gene array on chromosome 28, which characterizes three clusters of field isolates: (i) strains from Bangladesh with a locus of about seven gene copies similar to the LDPBQ7IC8 reference, (ii) strains from highlands in Nepal that show a strong expansion of this array with up to 14 genes, and (iii) the majority of the strains originating from

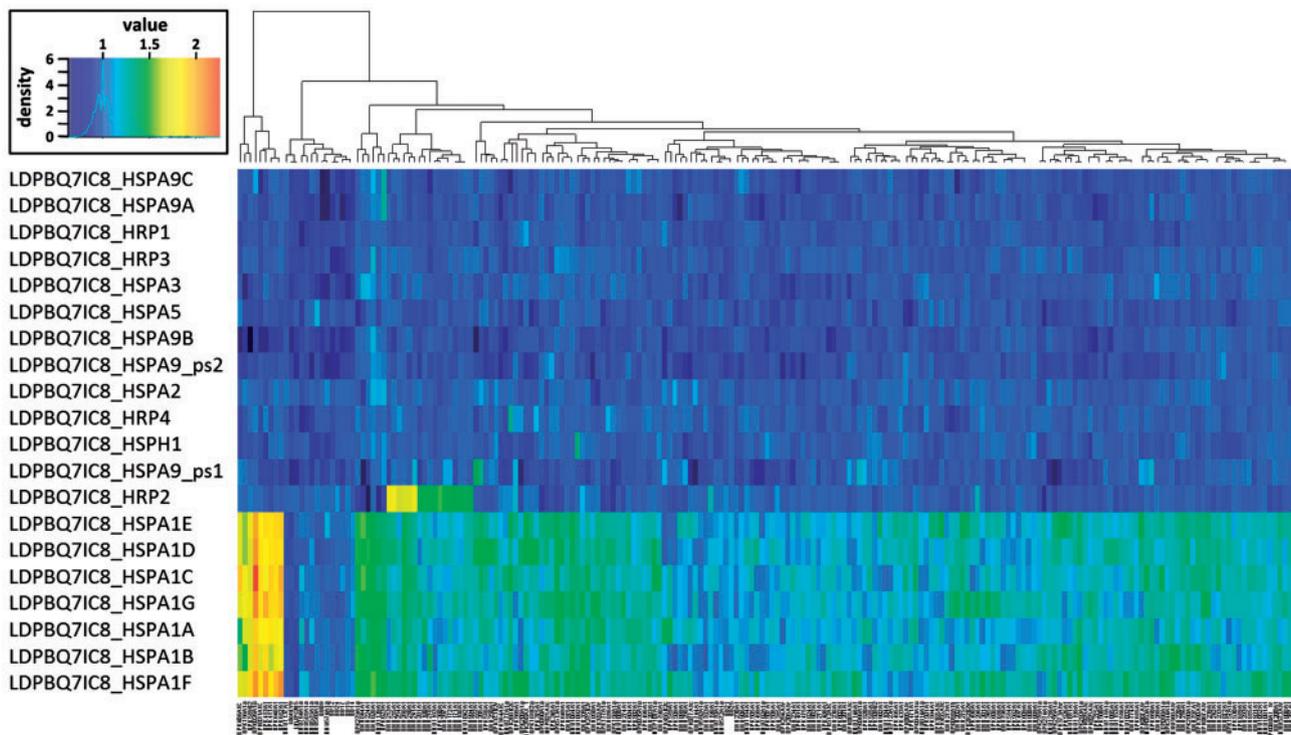


Fig. 6.—Heat map of gene copy number variation of *HSP70* family members in LdBPK field isolates. The normalized read depth for the genes coding for the indicated *HSP70* members is plotted against 204 *L. donovani* field isolates (Imamura et al. 2016), *Leishmania infantum* JPCM5 and *L. donovani* LV9. The color scale at the upper right shows normalized depth calibrated to correspond to one gene copy for a single copy gene on a diploid chromosome. The dendrogram shown at the top of the heat map was established based on euclidean distance of the depth of *HSP70* genes.

the lowlands in India and Nepal (further called core population) and showing moderate expansion with likely 8–10 genes. In contrast to the *HSPA1* locus, the *HSPA9* array is stable across the entire sample set, demonstrating that only cytoplasmic but not mitochondrial *HSP70* genes may show dynamic, strain-specific evolution.

We determined single-nucleotide polymorphisms (SNPs) to investigate if individual *HSPA1* and *HSPA9* gene copies show non-synonymous nucleotide substitutions as a sign of divergent evolution. In the core population, the genes for all *HSP70* family members were well conserved and only one non-synonymous (nsyn) SNP site shared by three strains was found in *LdPBQ_HSPA5* at position 452,103, and one synonymous (syn) SNP site was identified in the pseudogene *LdPBQ_HSPA9_ps1* at position 962,090 (supplementary table S4, Supplementary Material online). In contrast, the 12 strains originating from the highlands contained 44 SNP sites, including 13 nsyn and 31 syn sites. None of *LdPBQ_HSPA1* and *LdPBQ_HSPA9* genes had nsyn SNPs thus ruling out onset of divergent evolution in these highly related field isolates. *LdPBQ_HRP3* in these 12 strains had complex genetic variants, including a cluster of six SNPs and a nine bp deletion (supplementary table

S4, Supplementary Material online). Other SNPs in this group of 12 strains are described in supplementary table S4, Supplementary Material online.

Discussion

The *HSP70* superfamily contains some of the most conserved proteins in eukaryotes. Canonical *HSP70* members share sequence elements and functional residues essential for nucleotide binding and interaction with peptide substrates, nucleotide exchange factors, and co-chaperones. At the same time, all eukaryotes have evolved non-canonical *HSP70* members through gene duplication events and divergent evolution that resulted in unique, non-conserved sequence elements and highly species-specific interactions and functions. Combining phylogenetic and comparative analyses of the TriTryp genomes, *L. donovani* field isolates, and early-branching *Paratrypanosoma*, we provide novel insight into the evolutionary dynamics of the *Leishmania* *HSP70* protein family, and uncover unique, parasite-specific features in both its canonical and non-canonical members that are discussed in detail below.

As judged by clustering with well-characterized bacterial and human *HSP70* members, three highly conserved

monophyletic groups were identified in *Leishmania* spp. that correspond to cytoplasmic (HSPA1 and HSPA2), ER (HSPA5), and mitochondrial HSP70 (HSPA9; see fig. 3B and [supplementary fig. S1, Supplementary Material](#) online), some of which have been confirmed previously for their predicted sub-cellular localization by immuno-fluorescence and immuno-EM analyses (Searle and Smith 1993; Searle et al. 1993; Jensen et al. 2001; Campos et al. 2008; Týč et al. 2015). However, despite their conservation (e.g., over 70% of sequence identity between the *Leishmania* and human HSPA1 HSP70 domain; see [supplementary table S1, Supplementary Material](#) online), there are several important features that distinguish the parasite canonical HSP70 proteins from their orthologs in other eukaryotes. First, all canonical members are characterized by non-conserved, N- and/or C-terminal sequence extensions that likely confer trypanosomatid-specific interactions or localization. This is further supported by the presence of divergent, C-terminal consensus sequence motifs in some of these proteins, including the motif EDVD in *Leishmania* HSPA2 that does not correspond to the canonical EEVD motif known to interact with co-chaperones via their TPR domain (Li et al. 2009). The same applies to the MDDL motif in *Leishmania* HSPA5 that differs from the canonical KDDL motif conferring ER localization (Pidoux and Armstrong 1992; Bangs et al. 1996), or extensive poly-glutamine stretches in *Leishmania* HSPA9A and B absent in bacterial and human orthologs.

Second, both canonical *HSPA1* and *HSPA9* show a significant increase in gene copy number in *Leishmania* spp. compared with other eukaryotes. Even though the quantification of exact copy number variation of duplicated regions remains difficult for current sequencing techniques, our data indicate that the copy number of these genes is significantly underestimated in current public databases, which, for example, may attain up to 14 and 17 gene copies for *L. major* *HSPA1* and *HSPA9*, respectively. The presence of abundant gene arrays is a main characteristic of the *Leishmania* genome structure, believed to increase gene expression in the absence of transcriptional control (Ivens et al. 2005; Peacock et al. 2007; Rogers et al. 2011). Often gene amplification is selected for in response to environmental stress. For example, drug resistance in *Leishmania* has been correlated to gene amplification (Downing et al. 2011; Leprohon et al. 2015), and *Leishmania* antimony tolerance has been linked to increased HSP70 abundance (Brochu et al. 2004). Analyzing copy number variation (CNV) across *L. donovani* HSP70 members in 204 field isolates from the Indian sub-continent (Imamura et al. 2016) uncovered a surprising diversity in the gene copy number of *HSPA1* ranging from seven to at least 14 copies (per haploid genome). Interestingly, there was a geographical/environmental structure in those results, with seven copies in isolates from Bangladesh, 8–10 in lowland Indo-Nepalese isolates and 14 in isolates from Nepalese highlands (belonging to the ISC1 group described by Imamura et al. 2016). Furthermore, part of this expansion is rather recent, as lowland Indo-Nepalese isolates

and those from Bangladesh were estimated to have diverged around the year 1850 (Imamura et al. 2016). Given the importance of cytoplasmic HSP70 to confer resistance to oxidative stress in *Leishmania* (Miller et al. 2000), its reported link to treatment failure (Torres et al. 2013) and antimony resistance (Brochu et al. 2004), it is interesting to speculate that this rapid intra-chromosomal amplification may reflect a strain-specific adaptation to unknown environmental insults. The absence of non-synonymous SNP in the *HSPA1* gene copies suggests that this change was driven by gene dosage adaptation. Although increased *HSPA1* copy number does not correlate with antimony-resistance (data not shown), our observation nevertheless suggests that this locus may be a target of environment-genotype interaction with potential epidemiological relevance, and suggests CNV has an important signal for biomarker discovery in *Leishmania*. However, we cannot rule out that the dynamics of this locus represents random drift. In contrast, the *HSPA9* gene array—whose products are indispensable for the maintenance and replication of kinetoplast DNA (Týč et al. 2015)—shows stable copy number across the 204 field isolates, thus serving as an internal control and demonstrating that this locus is likely not target of environment-genotype interactions.

Finally, despite their high-sequence conservation and hence likely recent amplification, various members of the *HSPA1* and *HSPA9* gene arrays show regulatory or structural differences that point towards a recent onset of divergent evolution and the birth of two novel HSP70 sub-families in *Leishmania* spp. For example, one gene of the *HSPA1* array in *L. major* and *L. amazonensis* shows temperature-induced expression due to the presence of a unique 3' UTR that is not shared by the other, constitutively expressed copies (Folgueira et al. 2005). Likewise, individual members of the *HSPA9* array show significant sequence divergence in their C-terminal domain, and are differentially regulated, thus likely carrying out distinct mitochondrial functions (Campos et al. 2008; Týč et al. 2015). Our phylogenetic analyses allow insight into the evolutionary history of these arrays. Members of the *HSPA1* and (with some exceptions) *HSPA9* sub-families largely cluster in a species-specific manner with good bootstrap support, pointing towards an evolutionary scenario of convergent amplification, where a single gene in the common ancestor was duplicated independently in various *Leishmania* species. However, the very small inter-species sequence divergence, and the conservation of regulatory and structural features across orthologs seems more compatible with a scenario of gene amplification occurring in the common ancestor of all *Leishmania* spp., likely triggered by the wide array of functions fulfilled by the HSP70 proteins that may then have further evolved in a species-specific fashion by divergent evolution.

In contrast to the *HSPA1* and *HSPA9* gene arrays, all other *Leishmania* HSP70 members are encoded by single-copy genes that are more closely related across *Leishmania* species than to paralogs inside the same species. This inter-species

phylogenetic clustering reveals evolution of these *HSP70* members through an ancient gene duplication and divergent evolution in an ancestral trypanosomatid that predates *Leishmania* speciation. As judged by the conservation of functional domains, aside HSPA1 and HSPA9 two additional canonical family members (i.e., HSPA2 and HSPA3) likely retain ATP-dependent chaperone function. However, these functions seem adapted to the parasite-specific biology given the divergence of functional residues involved in substrate and NEF binding. In contrast, the substantial degeneration of the HSP70 domain of the non-canonical family members HSPH1 and HRP1-5 indicates that these proteins are probably not directly involved in protein folding but have evolved novel functions that may include NEF or co-chaperone activities as suggested for non-canonical HSP70 members in other eukaryotes (Shaner et al. 2006). Although all these atypical HSP70 proteins find a highly conserved ortholog in the genus *Trypanosoma*, highlighting their ancestral origin, no *HRP4* ortholog is present in the *T. brucei* or *T. cruzi* genomes. The presence of *HRP4* orthologs in all *Leishmania* species and the early-branching *Paratrypanosoma* reveals the secondary loss of this gene from the *Trypanosoma* genome by chromosomal deletion.

In conclusion, we provide here a unique insight into the evolutionary dynamics of the *Leishmania* HSP70 protein family and reveal features of this superfamily that are specific at various taxonomic levels, including the trypanosomatid family, the *Leishmania* genus, the *Leishmania* species, and even the *Leishmania* strain. Intra-chromosomal amplification and subsequent divergent evolution as documented here for the *Leishmania HSPA9* gene locus may represent an important source for genetic diversity in the absence of frequent genetic recombination in this largely asexual organism. The re-annotation of this family, the proposition of a new nomenclature following official recommendations, and the discovery of CNV as an evolutionary mechanism that drives *Leishmania* species- and even strain-specific adaptation of the this family sheds important new light on the evolutionary potential of eukaryotic HSP70 proteins and sets the stage for future functional analyses elucidating the biological and epidemiological significance of these essential chaperones.

Supplementary Material

Supplementary tables S1–S4 and figures S1–S12 are available at *Genome Biology and Evolution* online (<http://www.gbe.oxfordjournals.org>).

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